

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

PATENT APPLICATION

Herewith is the PATENT APPLICATION of
Inventor(s): NISHIOKA

(Our Deposit Account No. 03-3975)

Our Order No. 61069 260332
C# M#

Title VARIABLE OPTICAL-PROPERTY ELEMENT AND OPTICAL
APPARATUS INCLUDING THE SAME

Atty. Dkt.: PM 260332 SPO-2384
M# Client Ref

including:

Date: June 8, 1999

1. Specification: 119 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 36 numbered claims
4. ☒ Drawings: 37 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN)
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 6(a) ☐ National Appln. No. / filed (M#)
- 6(b) ☐ International Appln. No. filed
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.
Provisional Application No. 60/ , filed --
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to

by Assignment recorded Reel Frame

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN (country)
- 11.

Application No.	Filing Date	Application No.	Filing Date
(1) 10-161198	June 9, 1998	(2) 10-198073	June 30, 1998
(3) 10-198080	June 30, 1998	(4) 10-198155	June 30, 1998
(5) 10-198164	June 30, 1998	(6) 10-300296	October 8, 1998
(7) 10-300299	October 8, 1998	(8) 10-349311	November 25, 1998
(9)		(10)	

12. 8 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) filed on
in U.S. Application No. /

13. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$760/\$380	\$760	101/201
19. Total Effective Claims	66	minus 20 =	*46	x \$18/\$9 =	+ 828	103/203
20. Independent Claims	19	minus 3 =	*16	x \$78/\$39 =	+ 1248	102/202
*If answer is zero or less, enter "0"						
21. If <u>any proper</u> multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 260	104/204
22. TOTAL FILING FEE ENCLOSED =					\$3096	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$3136	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments